

# B561 Solutions for Review Questions

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- (1) Consider the relation schema  $R(A, B, C, D, E, F, G, H)$  with functional dependencies  $\mathcal{F} = \{BE \rightarrow GH, G \rightarrow FA, D \rightarrow C, F \rightarrow B\}$ .

- (a) Find a (minimal) key for  $R$ .

**Solution**

Given a relation  $R$ . A set of attributes  $X$  is key, if it is a superkey for  $R$  and for all  $A \in X$ ,  $X - \{A\}$  is not a superkey.

For a relation  $R$ ,  $X \subseteq R$  is a superkey, if the FD  $X \rightarrow R - X$  holds or, equivalently, if the closure of  $X$  is  $R$ .

$BDE$  is a superkey. We can use the attribute closure algorithm from the textbook (page 614) to show this. The closure of the set  $BDE$  is  $ABCDEFGH$ . Hence,  $BDE$  is a superkey. Now we can use the same algorithm to show that  $BD$ ,  $BE$ , and  $DE$  are not superkeys. The closure of  $BD$  is  $BCD$ , the closure of  $BE$  is  $ABEFGH$ , and the closure of  $DE$  is  $CDE$ .

Is there any key of  $R$  that does not contain the attribute  $D$ ? Explain.

**Solution**

It is sufficient to show that  $R - \{D\}$  is not a superkey. The closure of  $R - \{D\}$  is  $ABCEFGH$ , hence, neither  $R - \{D\}$  nor any of its subsets is a superkey. Thus, every *key* of  $R$  must contain  $D$ .

- (b) Is the schema currently in BCNF? Explain.

**Solution**

A relation  $R$  is in BCNF if for every FD  $X \rightarrow Y$  in  $\mathcal{F}^+$  (the closure of the set  $\mathcal{F}$  of given FDs) one of the following statements is true:

- $X \rightarrow Y$  is a trivial constraint; or

- $X$  is a superkey.

Clearly,  $R$  is not in BCNF, since, for example,  $F \rightarrow B$  is a non-trivial FD that holds in  $R$  but  $F$  is not a superkey by the previous exercise.

- (c) Use one step of the BCNF decomposition to decompose  $R$  into two subrelations.

**Solution** We use the decomposition procedure described in the textbook on page 623. There to, take the BCNF violating FD  $F \rightarrow B$ , and decompose  $R$  into  $R - \{B\} = ACDEFGH$  and  $FB$ .

Are the subrelations in BCNF?

**Solution**

$FB$  clearly is in BCNF. However,  $R' = ACDEFGH$  is not, since  $D \rightarrow C$  is a non-trivial FD in  $R'$  but  $D$  is not a superkey, since the closure of  $D$  does not contain  $A$ , for example.

- (d) Show that your decomposition from part (c) is lossless.

**Solution**

$ACDEFGH \cap FB = F$ . The decomposition is lossless, if and only if either  $F \rightarrow FB$  or  $F \rightarrow ACDEFGH$ , or both are elements of  $\mathcal{F}^+$ . Since  $F \rightarrow FB$  is an element of  $\mathcal{F}^+$  (augmentation with  $F$  on  $F \rightarrow B$ ), the decomposition is lossless.

- (e) Is your decomposition from part (c) dependency preserving?

**Solution**

(See page 621 in the textbook). The FD  $BE \rightarrow GH$  is neither in the projection of  $\mathcal{F}$  on  $ACDEFGH$  nor in the projection of  $\mathcal{F}$  on  $BF$ . In addition, it is not in the closure of the union of these two projections (again, we can use the attribute closure algorithm to show this). Hence, the decomposition is not dependency-preserving.

- (f) Continue the decomposition until you obtain a BCNF decomposition of  $R$ . Is your final decomposition dependency preserving?

**Solution**

The next step of the decomposition process outlined in the book would decompose the relation  $ACDEFGH$  into the relations  $ACEFGH$  and  $CD$ . Then, since  $BE \rightarrow G$  is in  $\mathcal{F}^+$ ,  $ACEFGH$  is decomposed into  $ACEFH$  and  $BEG$ .  $ACEFH$ ,  $BEG$ ,  $BE$ , and  $FB$  are all in BCNF. The decomposition is clearly not de-

pendency preserving (we already showed in the previous exercise, that the first decomposition step was not dependency preserving).

- (4) Consider the relation schema  $R(A, B, C, D, E, F, G)$  and accompanying set of functional dependencies  $F = \{A \rightarrow D, ADG \rightarrow F, ACE \rightarrow BD, B \rightarrow C, C \rightarrow A, D \rightarrow G, E \rightarrow B, EF \rightarrow AD, F \rightarrow E, G \rightarrow F\}$ .

- (a) Show that  $A$  is a key (i.e., minimal superkey) for  $R$ .

**Solution**

Using the chase method described in the textbook, we can infer that  $A \rightarrow BCDEFG$ . Since  $\emptyset$  is never a key,  $A$  is clearly minimal.

- (b) Give a lossless-join, dependency preserving decomposition into BCNF for  $R$ .

**Solution**

The existing relation is already in BCNF. As such, it is clearly lossless and dependency preserving.

- (c) Argue that no 2-attribute subset of  $\{A, B, C, D, E, F, G\}$  is a key for  $R$ .

**Solution**

We have the cycle of FDs  $A \rightarrow D; D \rightarrow G; G \rightarrow F; F \rightarrow E; E \rightarrow B; B \rightarrow C; C \rightarrow A$ . Thus, using transitivity, for any attribute  $X \in ABCDEFG$ , we can show that  $X \rightarrow ABCDEFG \in F^+$ . Hence, every singleton set is a key. Thus, no 2-attribute subset can be minimal, so it cannot be a key!

- (5) Using only Armstrong's Axioms and the FDs  $AB \rightarrow C, A \rightarrow BE, C \rightarrow D$ , give a complete derivation of the FD  $A \rightarrow D$ .

**Solution**

1.  $AB \rightarrow C$  Given in problem
2.  $C \rightarrow D$  Given in problem
3.  $AB \rightarrow D$  Transitivity on 1 and 2
4.  $A \rightarrow BE$  Given in problem
5.  $BE \rightarrow B$  Reflexivity on attributes  $B$  and  $E$
6.  $A \rightarrow B$  Transitivity on 4 and 5
7.  $A \rightarrow AB$  Augmentation on 6 with  $A$
8.  $A \rightarrow D$  Transitivity on 7 and 3

- (6) Show that the following inference rules are derivable from Armstrong's axioms (i.e., are sound rules for functional dependencies):

- (1) Union: If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$ .
- (2) Decomposition: If  $X \rightarrow YZ$ , then  $X \rightarrow Y$  and  $X \rightarrow Z$ .
- (3) Strong Transitivity: If  $X \rightarrow Y$  and  $YW \rightarrow Z$ , then  $XW \rightarrow Z$ .

**Solution**

- (1)
    - (1)  $X \rightarrow Y$  Given
    - (2)  $X \rightarrow Z$  Given
    - (3)  $X \rightarrow XY$  (1) and Augmentation
    - (4)  $XY \rightarrow YZ$  (2) and Augmentation
    - (5)  $X \rightarrow YZ$  (3) and (4) Transitivity
  - (2)
    - (1)  $X \rightarrow YZ$  Given
    - (2)  $YZ \rightarrow Y$  Reflexivity
    - (3)  $YZ \rightarrow Z$  Reflexivity
    - (4)  $X \rightarrow Y$  (1) and (2) Transitivity
    - (5)  $X \rightarrow Z$  (1) and (3) Transitivity
  - (3)
    - (1)  $X \rightarrow Y$  Given
    - (2)  $XW \rightarrow YW$  (1) Augmentation
    - (3)  $YW \rightarrow Z$  Given
    - (4)  $XW \rightarrow Z$  (2) and (3) Transitivity
- (7) Show that the following inference system is sound and complete for functional dependencies (i.e., equivalent to Armstrong's axioms):
- Reflexivity: If  $X \subseteq Y$ , then  $Y \rightarrow X$ ; and
  - Strong Transitivity: If  $X \rightarrow Y$  and  $YW \rightarrow Z$ , then  $XW \rightarrow Z$ .

**Solution** We only have to show that we can derive Armstrong's axioms from the given inference rules (we showed the converse in the previous exercise). Trivially, transitivity can be derived by strong transitivity for  $W = \emptyset$  and reflexivity is given. Augmentation can be derived as follows

- (1)  $X \rightarrow Y$  Given
- (2)  $YW \rightarrow YW$  Reflexivity
- (3)  $XW \rightarrow YW$  (1) and (2) Transitivity