

# SETS

RELATIONS, MAPPINGS, SIZE

## What are sets

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- The concept of *set* is “defined” here in terms of “collection” and “whole”, i.e. synonyms of “set”!
- **Shouldn't concepts be defined using previously defined ones?**
- Regressing this way cannot go on indefinitely:  
we must stop with concepts that are left **undefined**.
- We only explain those informally,  
hoping to establish some  
shared imagery, intuitions and understanding.  
**“Set”** is just such a concept.

## *Exhibiting sets*

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- Sets are determined by their elements.  
That is, if sets  $A$  and  $B$  have the same elements, then they are one and the same set, even if they are described in very different ways.
- This is the ***Principle of Extensionality***.

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- This is the **Principle of Extensionality**.
- It implies that finite sets can be defined by exhibiting their elements:  $\{a_1, \dots, a_k\}$ .  
So  $\{0, 1\}$ ,  $\{1, 0\}$  and  $\{0, 0, 1\}$  are all the same set.

## *Names and notations for special sets*

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- Some sets are commonly assumed as given, and assigned notations.
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  - ▶ *int* or  $\mathbb{Z}$  : The integers
  - ▶  $\mathbb{Q}$  : the rational numbers (Q for “quotients”)
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  - ▶  $\mathbb{R}$  : the real numbers (the “real number line”)
  - ▶ The **empty set**, denoted  $\emptyset$ , which has no elements.
- A set with exactly one element, however complex, is a **singleton**.  
Examples:  $\{0\}$ ,  $\{\emptyset\}$ ,  $\{\{\emptyset\}\}$  and  $\{\mathbb{N}\}$

## *Abstraction notation*

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- Another approach to defining sets is to delineate them by certain properties, as in *“the set of registered voters”*.
- Such definitions are captured by the notational convention  $\{x \mid \text{a property of } x\}$ .
- Between braces: (1) a declared variable, say  $x$ ,  
(2) a vertical bar (pronounced “such that”)  
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- Example:  $\{z \mid z = 2^x \text{ for some } x \in \mathbb{N}\}$ .  
More concisely:  $\{2^x \mid x \in \mathbb{N}\}$ .
- A set’s elements can themselves be complex entities!  
Examples:  $\{\emptyset\}$ ,  $\{\mathbb{N}\}$ ,  $\{\emptyset, \{\emptyset\}\}$ .

## Conventions for numeric intervals

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- To denote intervals of integers or real numbers we indicate end-point's inclusion with a bracket, and exclusion with a parenthesis.

$(p..q)$  =  $\{x \mid p < x < q\}$  (*open interval*)      The latter is

$[p..q]$  =  $\{x \mid p \leq x \leq q\}$  (*closed interval*)

$[p..q)$  =  $\{x \mid p \leq x < q\}$  (*left-closed interval*)

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often written  $[p..∞)$ .

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## *Relations between sets*

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- We say that  $A$  is a subset of  $B$   
and write  $A \subseteq B$  if every element of  $A$  is an element of  $B$ ,  
that is  $x \in A$  implies  $x \in B$ .

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  - ▶ The set of elephants is a subset of the set of mammals.
- If  $A \subseteq B$  and  $B \subseteq A$  then  $A$  and  $B$  have the same elements. By Extensionality this implies  $A = B$ .



## Puzzles

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True or false?

$$0 \in \{0, 1\}$$

$$\{0\} \subseteq \{0, 1\}$$

$$\{0\} \in \{0, 1\}$$

$$\{0, 1, 1\} \subseteq \{1, 0\}$$

$$\{0, 1\} \subseteq \mathbb{N}$$

$$\{0, 1\} \subseteq \{\mathbb{N}\}$$

$$\mathbb{N} \subseteq \{\mathbb{N}\}$$

$$\mathbb{N} \in \{\mathbb{N}\}$$

$$\emptyset \subseteq \{\emptyset\}$$

$$\{\emptyset\} \subseteq \emptyset$$

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## The perils of abstraction

---

- In the template  $\{x \mid \dots x \dots\}$ ,  
does  $x$  stand for “anything”?

- If that were so, we’d be able to define

$$R =_{\text{df}} \{x \mid x \notin x\}$$

That is, for all  $x$

$$x \in R \quad \text{IFF} \quad x \notin x$$

- In particular, if we take  $x$  to be  $R$  then

$$R \in R \quad \text{IFF} \quad R \notin R$$

A contradiction!

- This is known as *Russell's Paradox.*

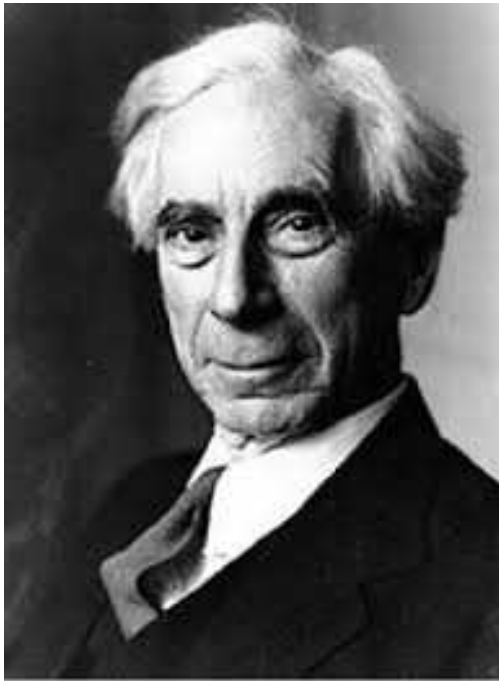
## The Separation Principle

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- There is a circularity at the root of the definition of  $R$ :  
“all sets” includes the set  $R$  itself,  
which is defined in terms of “all sets.”
- Work-around: Zermelo’s **Separation Principle**:  
For a given set  $S$  we may define  $\{x \in S \mid \dots x \dots\}$ .  
We “separate” out the elements of  $S$  along the given property.
- This blocks Russell’s paradox:  
 $S$  would have to be “all sets”, which is not admissible as a set.

*Bertrand Russell and Ernst Zermelo*

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Russell (1872-1970)



Zermelo (1871-1953)

## The Diagonal Method

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- Russell's Paradox epitomizes a powerful line of reasoning.

To illustrate, let's call a book *modest* if its text does not mention its title.

**Question:** Can we compile a catalog of all modest books?

- Suppose such a catalog existed, with title  $M$  say.

A book is listed in  $M$  iff it does not mention itself.

In particular,  $M$  is listed in  $M$  iff  $M$  is not listed in  $M$ .

- Consequence: *There can be no catalog of all modest books!*
- **Where does the contradiction come from?**

## *Contradictions via two-faced objects*

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- The catalog argument refers to each book in two ways:  
as a title, and as contents.
- Russell's Paradox refers to each set in two ways:  
as a set of other objects, and as a possible element of other sets.
- This duality is the core of the **Self-reference Method**  
AKA the **Diagonal Method.**  
(A matrix's diagonal is where row  $\#i$  meets column  $\#i$ .)
- This duality is ingrained in computing:  
a program is both a string and an algorithm.

## *Operations on sets*

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- $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$   
 $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$   
 $A - B = \{x \mid x \in A \text{ and } x \notin B\}$

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- When all sets considered are subsets of some set  $U$ ,  
we refer to  $U - A$  as the **complement** of  $A$ ,  
and write  $\bar{A}$  for it.



$\cup$  is the dual of  $\cap$

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- We have  $\overline{A \cap B} = \bar{A} \cup \bar{B}$ :

$$x \notin A \cap B \text{ iff } x \notin A \text{ or } x \notin B$$

“not both true” is the same as “at least one is false”

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$$\mathcal{P}(A) =_{\text{df}} \{B \mid B \subseteq A\}$$

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  - ▶  $A = \{0, 1\}$ ,  $\mathcal{P}(A) = \{\emptyset, \{0\}, \{1\}, \{0, 1\}\}$

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  - ▶  $\mathcal{P}(\{a, b, c\}) = \{ \emptyset,$   
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  - ▶ *What is  $\mathcal{P}(\{1\})$ ?*

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  - ▶ *What is  $\mathcal{P}(\{1\})$ ?*  $\mathcal{P}(\{1\}) = \{\emptyset, \{1\}\}$

## *Size of the power-set*

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- If a finite  $A$  has  $n$  elements,  
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  - ▶ A subset  $B \subseteq A$ , is fixed by choosing, for each  $x \in A$ ,  
whether or not  $x \in B$ .
  - ▶ Each choice doubles the number of previous choices.

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- Example: The collection of open intervals  $(0..1), (1..2), (2..3), (3..4), \dots$

## Partitions

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- Non-example:
  - ▶ English words fall into eight parts of speech, but this is not a partition: some words are both noun and verb.

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- ▶ Classify  $\mathbb{R}$  into the half-closed intervals

- $[n..n+1)$ , ( $n$  an integer).

# RELATIONS

## Ordered pairs

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- More generally, for each  $k \geq 1$  we can form  
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- As we did for sets, we take the formation of ordered-pairs  
and ordered tuples to be a basic, intuitively clear, operation.

## *Set-product*

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$$A \times B \text{ =}_{\text{df}} \{ \langle a, b \rangle \mid a \in A, b \in B \}$$

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- ▶  $\mathbb{Z} \times \mathbb{Z}$  is the integer grid.

- ▶  $\langle \text{US town-names} \rangle \times \langle \text{US state-names} \rangle$ .

Some elements:  $\langle \text{Bloomington, Indiana} \rangle, \langle \text{Cambridge, Ohio} \rangle, \langle \text{Portland, Maine} \rangle$

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- With few exceptions we use the usual **infix notation**: For  $\langle a, b \rangle \in R$  we write  $a R b$ .

## *Examples*

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- ▶ Dependency relation between components of software modules.

## ★ *Renatus Cartesius*

---

- René Descartes, 1596-1650
- [https://en.wikipedia.org/wiki/Ren%C3%A9\\_Descartes](https://en.wikipedia.org/wiki/Ren%C3%A9_Descartes)
- The unity of Mathematics!



## Visual representation by di-graphs

---

- Any binary relation  $R \subseteq A \times A$   
can be represented as a directed-graph without multiple edges:  
The vertices are the elements of  $A$   
and there is an edge  $x \leftrightarrow y$  iff  $x(R)y$ .

# MASQUERADING AS EQUALITY

## Reflexive relations

---

- One useful type of relations consists of those who share the essential properties of equality.
- $R \subseteq A \times A$  is **reflexive on  $A$**  if  $xRx$  for all  $x \in A$ .
- Note that this property of  $R$ , standing alone.



## *Examples*

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*Which are reflexive?*

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- ▶ *has-same-prime-factors-as* (over  $\mathbb{N}$ )



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No: no country has a common border with itself

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*Which are symmetric?*

---

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## *Which are symmetric?*

---

- ▶ *loves* Unfortunately not

## *Which are symmetric?*

---

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  - ▶ *within-walking-distance-of*

*Which are transitive?*

---

▶ *substring-of*

## *Which are transitive?*

---

- ▶ *substring-of* Yes

## *Which are transitive?*

---

- ▶ *substring-of*
- ▶ *brother-in-law-of*

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## *Equivalence relations*

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  - ▶ Identity on  $\mathbb{N}$  as a relation on  $\mathbb{R}$

## Equivalence relations

---

- **Reflexivity, symmetry** and **transitivity** are the basic properties of equality.
- $R \subseteq A \times A$  is an **equivalence** relation if it is reflexive on  $A$ , symmetric, and transitive.
- Non-examples
  - ▶ *is-descendant-of*, self included (between people)
  - ▶ Identity on  $\mathbb{N}$  as a relation on  $\mathbb{R}$
  - ▶ *is-connected-to* (between people)



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- We think of a cluster of equivalent objects as an **equivalence-class**.
- Such class can be identified by one of its members.

We'll see that it does not matter which one. So we define:

- Given an equivalence  $\sim$  over  $A$ , and  $x \in A$ , the  **$\sim$ -class of  $x$**  is defined by

$$[x]_{\sim} =_{\text{df}} \{y \in S \mid y \sim x\}$$

## *Examples of equivalence-classes*

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$$[3]_{\sim} = \{3, 8, 13, 18, \dots\}$$

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- Over an undirected graph, *is-connected-to*

$$[u]_{\sim} = \text{the connected component of } u$$

## *Class-naming is robust*

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- What if we “name”  $[a]_{\sim}$  by a different  $a'$  in it?

- The choice of “name” makes no difference!:

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but not every two sets compare under  $\subseteq$ .
  - ▶  $\mathbb{Q}$  has an element between any two elements,  $\mathbb{N}$  does not.

## *What is common to all order relations?*

---

- Intuition of order is rooted in the natural order:

$$0 < 1 < 2 < 3 \dots$$

- Its most essential features are
  - ▶ **Asymmetry:**  $uRv$  contradicts  $vRu$
  - ▶ **Transitivity:**  $uRv$  and  $vRw$  together imply  $uRw$ .

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- But historically  $\leq$  was considered a more useful paradigm.  
So the common characterization of “order” has shifted to be:

- A relation  $R$  over a set  $A$  is an **order on  $A$**  if it is
  - ▶ Reflexive on  $A$
  - ▶ Transitive
  - ▶ **Anti-symmetric:**  $uRv$  and  $vRu$  together imply  $u = v$ .



## *Order on strings*

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or  $p = q$  and for some  $i < p$ ,  $\sigma_1 \cdots \sigma_i = \tau_1 \cdots \tau_i$  and  $\sigma_{i+1} \prec \tau_{i+1}$
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- I.e. strings are ordered by length, and lexicographically within each length.
- Any set of strings can be listed in increasing  $\prec$  order.
- This is not possible with usual lexicographic order:

For example, the one-letter Latin string **b**  
is preceded by the infinitely many strings that start with **a**.

# MAPPINGS

## Binary relations as input-output processes

---

- A relation from  $A$  to  $B$  can often be construed as a process that takes elements of  $A$  as input and yields corresponding output-values in  $B$ .
- For example, the relation *parent-of* can be construed as yielding for any person each one of their children.
- Interpreting relations as processes is not always natural. It is awkward to construe  $<$  on  $\mathbb{N}$  as a process that maps each  $x$  to each  $y > x$ .

## Mappings

---

- A relation  $R \subseteq A \times B$  does not determine the sets  $A$  and  $B$ , because  $R \subseteq A' \times B'$  for every  $A' \supseteq A$  and  $B' \supseteq B$ .
- For example, if  $R$  maps people to their ancestors aged  $\leq 150$  then it also maps people to their ancestor aged  $\leq 200$ .
- We define a **mapping** as a triple  $(R, A, B)$  where  $R \subseteq A \times B$ . We write  $R: A \Rightarrow B$  to state that  $(A, R, B)$  is a mapping.  $A$  is the **domain** of the mapping and  $B$  its **range**.

## *Image under a mapping*

---

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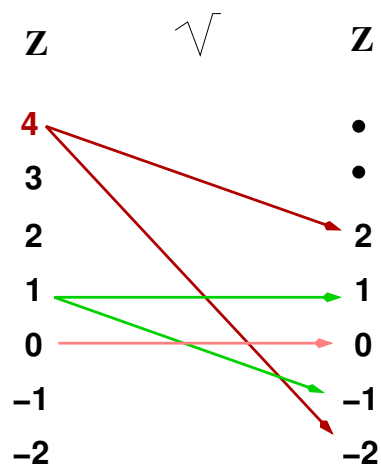
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is the **image** of  $A_0$  under  $R$ .

- Example: Consider the relation  $\sqrt{\phantom{x}} = \{ \langle x^2, x \rangle \mid x \in \mathbb{R} \}$ .

Then  $\sqrt{[4]} = \{2, -2\}$      $\sqrt{[0]} = \{0\}$      $\sqrt{[-4]} = \emptyset$



# Operations on mappings

## Mapping inverse

---

- The **inverse** of a mapping  $R : A \Rightarrow B$  is the mapping  $R^{-1} : B \Rightarrow A$  where  $x (R^{-1}) y$  iff  $y (R) x$ .
- The superscript  $-1$  is borrowed from the reciprocal function  $x^{-1} = 1/x$  over  $\mathbb{R}$ .

## Examples

---

- ▶ Inverse of *parent-of* is *child-of*
- ▶ Inverse of *loves* is *is-loved-by*
- ▶ Inverse of *has-SSN* is *is-SSN-of*
- ▶ The inverse of  $<$  is  $>$ ,  
and the inverse of  $\leq$  is  $\geq$ .

## *Inverting the inverse*

---

- $(R^{-1})^{-1} = R$
- **Proof.**  $x (R^{-1})^{-1} y$  iff  $y (R^{-1}) x$   
iff  $x (R) y$

## Relational-composition

---

- The **relational-composition** of mappings  $R : A \Rightarrow B$  and  $Q : B \Rightarrow C$  is the mapping  $(R;Q) : A \Rightarrow C$  where  $x(R;Q)z$  iff for some  $y \in B$  both  $xRy$  and  $yQz$ .

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- Relational-composition interprets mappings as processes, therefore following the procedural order.  
The semi-colon notation reflects this interpretation.

## *Examples*

---

▶ Between people: *mother-of* ; *parent-of* is *grandma-of*.



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- ▶ Over subsets of  $\mathbb{N}$ :  
 $(\subseteq); (\subseteq)$  is  $\subseteq$   
but  $(\subset); (\subset)$  is “extending by at least 2 elements”.

## Inverse of a composition

---

▪  $(R; Q)^{-1} = Q^{-1}; R^{-1}$

**Proof.**  $x(R; Q)^{-1}z$  iff  $z(R; Q)x$  (dfn of inverse)

iff  $zRy$  and  $yQx$  some  $y$  (dfn of ;)

iff  $yR^{-1}z$  and  $xQ^{-1}y$  some  $y$  (dfn of inverse)

iff  $x(Q^{-1}; R^{-1})z$  (dfn of *comp*)

# Properties of mappings

## *Four input/output properties*

---

We'll consider four properties that mappings  $R: A \Rightarrow B$  may have.

[Univalent:]

For every  $x \in A$  there is at most one  $y \in B$  such that  $x R y$ .

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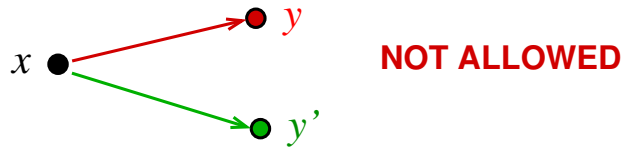
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## Univalent mappings

---

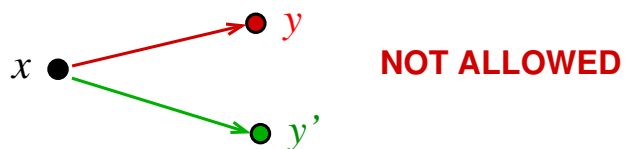
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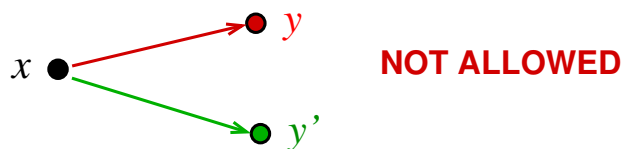
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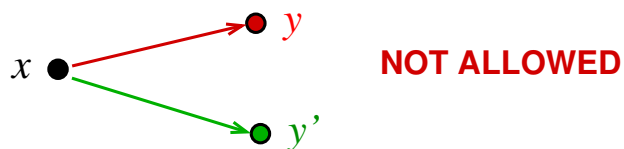
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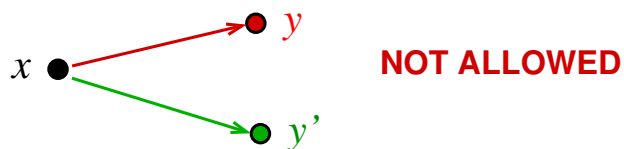
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- ▶ *married-to* is univalent assuming monogamy.

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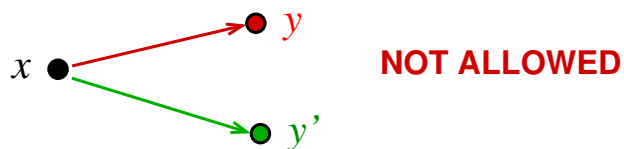
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## *Composition of univalent mappings*

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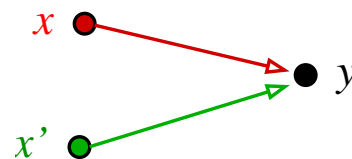
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- Then  $y = y'$  because  $R$  is univalent, and so  $z = z'$  because  $Q$  is univalent.

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 $x R y$  and  $x' R y$  together imply  $x = x'$

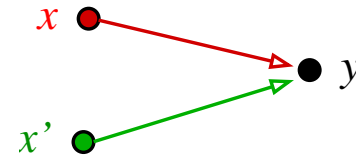


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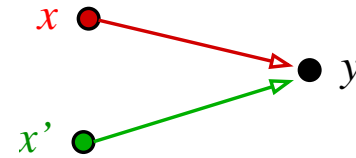
### Examples:

- ▶ The mapping from cars to their plate-number is injective:  
No two cars have the same plate number.

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 $x R y$  and  $x' R y$  together imply  $x = x'$



**NOT ALLOWED**

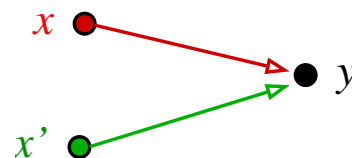
### Examples:

- ▶ The mapping from cars to their plate-number is injective:  
No two cars have the same plate number.
- ▶  $\{(x^2, x) \mid x \in \mathbb{N}\}$  is injective:  
different squares have different roots.

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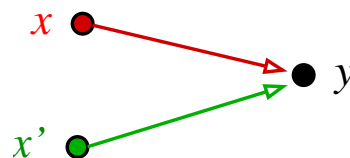
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- ▶  $\{\langle x, x^2 \rangle \mid x \in \mathbb{N}\}$  is not injective:  
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different people may have the same name.



*Which are injective?*

---

▶  $\{\langle x, x^2 \rangle \mid x \in \mathbb{R}\}$

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- ▶  $\{\langle x, x^2 \rangle \mid x \in \mathbb{R}\}$  No. Both  $2$  and  $-2$  map to  $4$ .

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No. This maps both 8 and 9 to 11.

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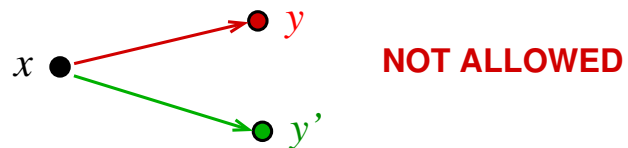
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Yes. No SSN is assigned to two different persons.

## *Injective is the dual of univalent*

---

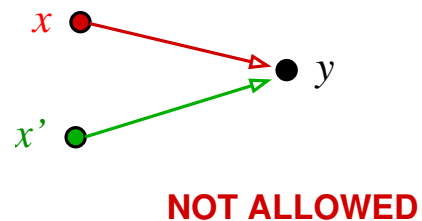
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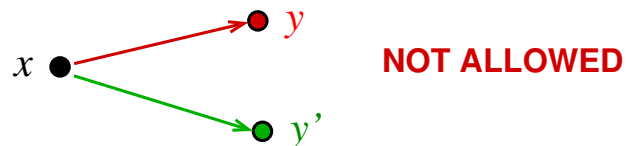
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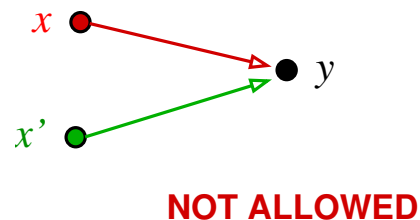
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- **Proof.**  $x(R)y$  plus  $x(R)y'$  imply  $y = y'$   
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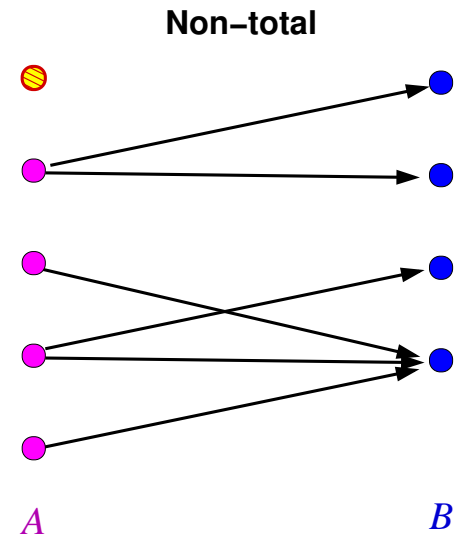
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That is,  $x(R)y(Q)z$  and  $x'(R)y'(Q)z$  for some  $y, y' \in B$ .
- $y = y'$  because  $Q$  is injective,  
and therefore  $x = x'$  because  $R$  is injective.

## Total mappings

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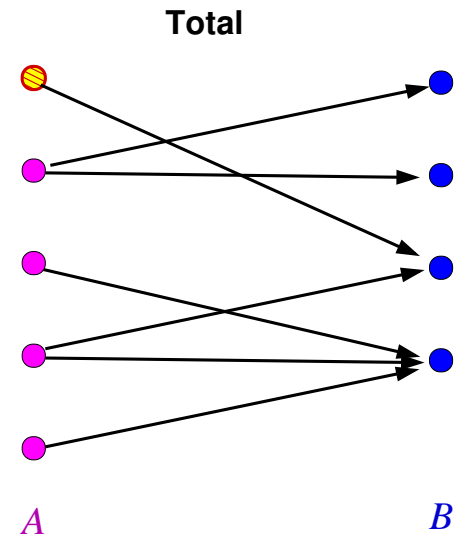




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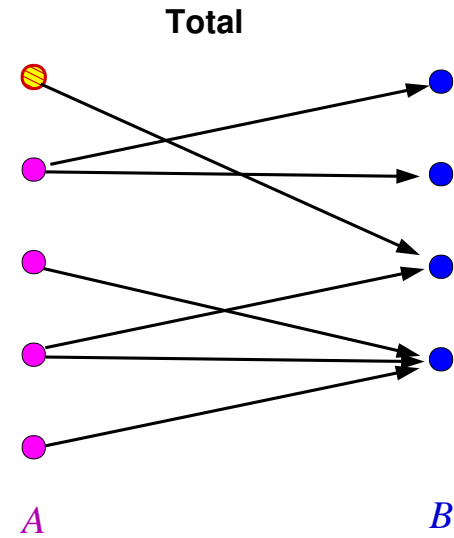
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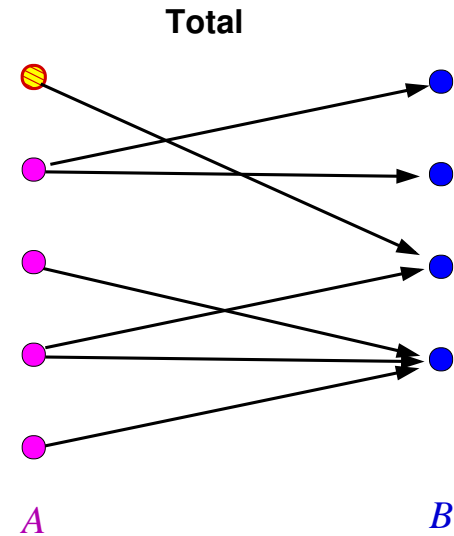
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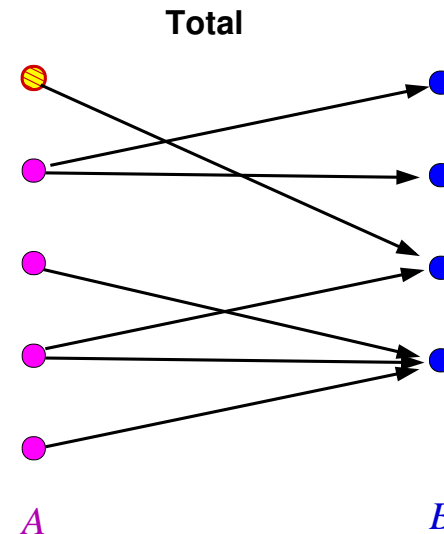
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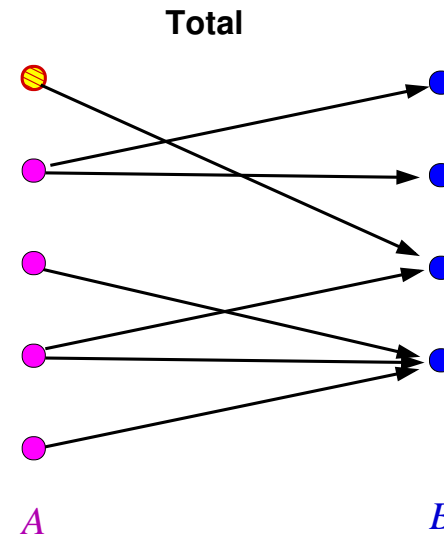
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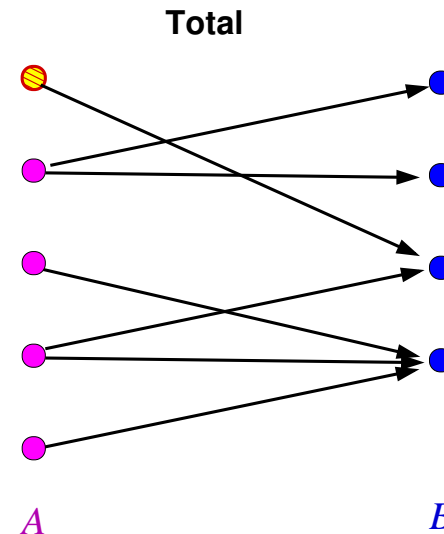
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So  $y(Q)z$  for some  $z \in C$ , since  $Q$  is total.

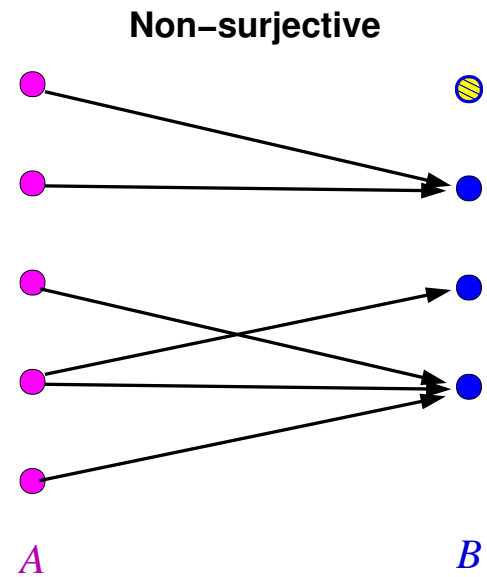
Put together, we obtain  $x(R;Q)z$  for some  $z$ .



## Surjective mappings

---

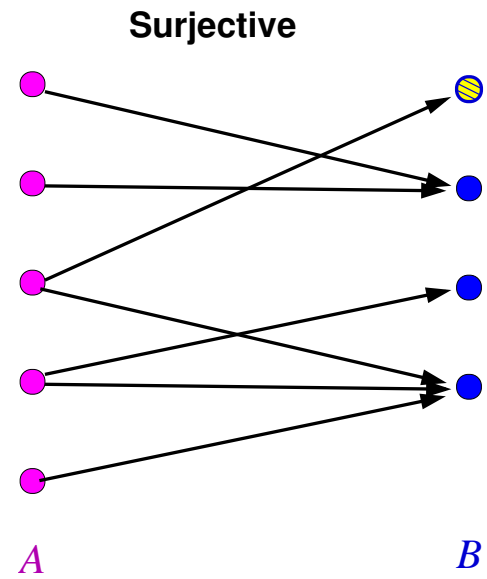
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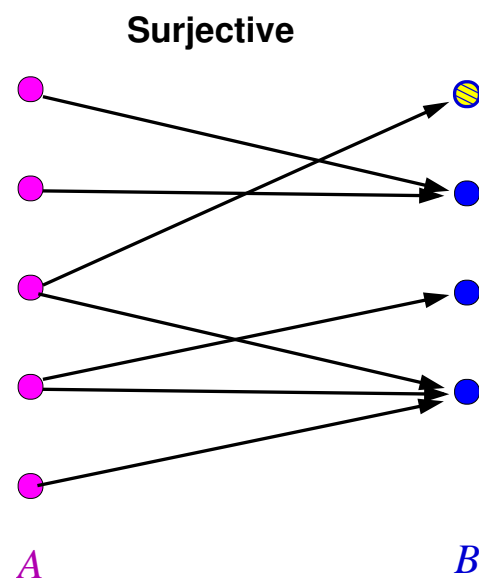
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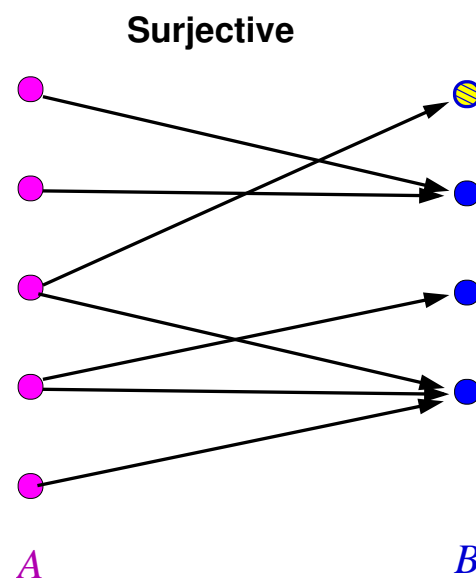
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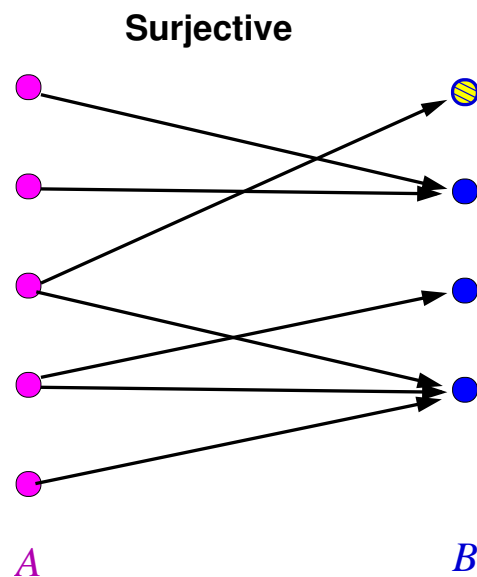
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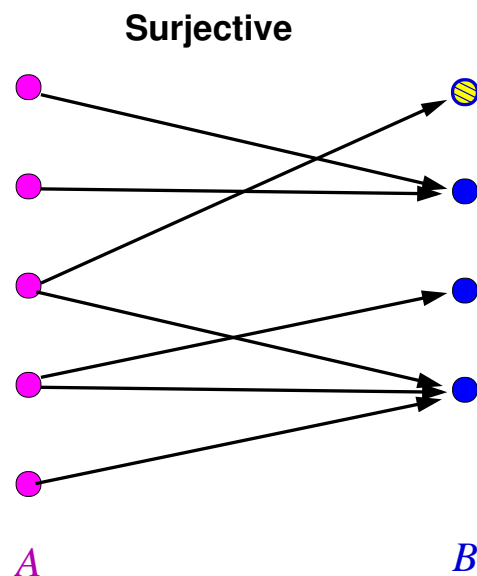
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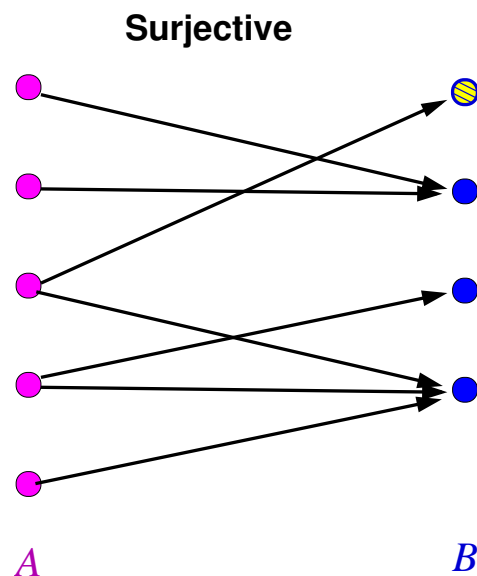


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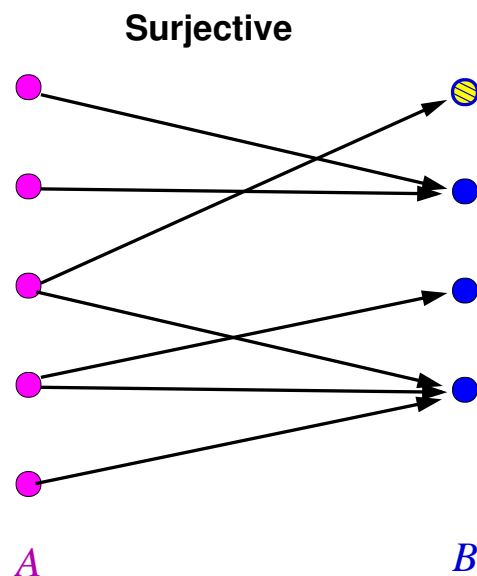
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- **Proof.** Given that  $Q: B \Rightarrow C$  is surjective, for every  $z \in C$  there is a  $y \in B$  such that  $y(Q)z$ .
- This implies, Since  $R: A \Rightarrow B$  is surjective, that  $x(R)y$  for some  $x \in A$ .
- Thus  $x(R;Q)z$ .  
Since this holds for every  $z \in C$ ,  $R;Q: A \Rightarrow C$  is surjective.

# FUNCTIONS

## *Functions: univalent and total*

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- A partial-function  $R : A \rightharpoonup B$  is “partial” in that  
it is **not necessarily** total (on  $B$ ).  
So every total-function is also a partial-function!  
And a partial-function may be total or **non-total**.

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- When  $F : A \multimap B$  (i.e. totality not assumed),  
we still write  $F(x)$  for the  $y$  satisfying  $x(F)y$ ,  
and say that  $F$  is **undefined** if no such  $y$  exists.

## *Explicit function definitions*

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- To refer to a function on the fly, without naming it, we use the “*maps-to*” notation:  $x \mapsto E$ .  
Example:  $x \mapsto 2x + 1$ .

## *Examples of total-functions*

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- The reciprocal-function over  $\mathbb{R}^+$   $x \mapsto 1/x$  is a total-function.

## Examples of total-functions

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- over the set of people:  
 $F(p) =$  the (biological) mother of  $p$ .
- Predecessor over the integers:  $x \mapsto x-1$  .  
Cut-off predecessor over  $\mathbb{N}$ :  $x \mapsto$  if  $x = 0$  then  $0$  else  $x-1$ .
- A function  $F : A \rightarrow B$  for which  $F(x) = b$   
for a fixed  $b \in B$  is a **constant-function**.
- For any set  $A$  the **identity function** over  $A$   $\text{Id}_A : A \rightarrow A$  defined by  
 $x \mapsto x$ .
- The reciprocal-function over  $\mathbb{R}^+$   $x \mapsto 1/x$  is a total-function.
- $F(n) =$  the first prime number  $\geq n$ .  
That this function is total is akin to saying that  
there are infinitely many primes.

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(Undefined for  $\emptyset$ .)
- For any sets  $A, B$  we have an **empty partial-function**  $\emptyset : A \rightarrow B$  .  
That is,  $\emptyset(x)$  is undefined for all  $x \in A$ .

## *Functions of several arguments*

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- Let  $F : A \times B \rightarrow C$ .  
We write  $F(a, b)$  for  $F(\langle a, b \rangle)$ .
- This convention can be applied to functions with more than two arguments.



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- Example: Addition, multiplication and exponentiation are binary functions over  $\mathbb{R}$ .
- We use infix notation for most binary functions:  $x+y$  for  $+(x, y)$ .

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- So a bijection has all four I/O properties: univalent, injective, total and surjective.
- If there is a bijection from  $A$  to  $B$  then we write  $A \cong B$  and say that  $A$  and  $B$  are **equipollent**.

## *Examples*

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- ▶ In a concert hall filled to capacity  
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- ▶ The successor-modulo-12 function over  $[0..11]$ .
- ▶ Let  $d(x) = 2x$ .  
 $d: \mathbb{R} \rightarrow \mathbb{R}$  is a bijection.  
 $d: \mathbb{N} \rightarrow \mathbb{N}$  is not: it is not surjective.  
 $d: \mathbb{N} \rightarrow \text{Even}$  is a bijection.

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- **Proof.** We saw above that the properties univalent, total, injective and surjective are all closed under composition.

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# SET SIZE

## Comparing set size

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Is that detour useful? necessary?  
It is a strole of genius for finite sets.  
It is not necessary.  
It hinders generalization of size to infinite sets!

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- The composition of injections is an injection, so:

**Theorem.**  $\preccurlyeq$  is transitive: If  $A \preccurlyeq B \preccurlyeq C$  then  $A \preccurlyeq C$ .

## Examples

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- $[1..\infty) \preccurlyeq (0..1]$  by the embedding  $x \mapsto 1/x$ .

## Examples

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Over the set  $\mathbb{R}$  of real numbers:

- ▶ Stretch: For  $a, b > 0$  we have  $(0..a) \preccurlyeq (0..b)$   
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  - $\preceq (c..d)$  (displace)
- ▶ **Do we have**  $[0..1] \preceq (0..1)$  ?
- ▶  $(1..2) \preceq [1..2] \preceq (0..3)$  (by identities)
  - $\preceq (1..2)$  (Stretch)

## Equipollence

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- Recall that  $A$  is **equipollent** with  $B$  when there is a bijection  $j : A \cong B$ .
  - ▶ Example:  $\mathbb{N}$  is equipollent to the set  $E$  of even naturals since  $x \mapsto 2x$  is a bijection.

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- Surprisingly, the converse also holds:

**Cantor-Bernstein-Schröder Theorem.** (1896/97)

If  $A \preccurlyeq B$  and  $B \preccurlyeq A$  then  $A \cong B$ .

## *Using CBS*

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1. The CBS Theorem is useful in proving set equipollence,  
because mutual embeddings are often easier to find than a bijection.
2. We showed that all real number intervals are embedable in each other.  
So by CBS they are all equipollent to each other.  
Not a big deal, you say, because the embedding are in fact bijections.  
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where  $g$  is the injection  $n \mapsto$  binary numeral for  $n$ .



## Countable sets

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- A set  $A$  is **denumerable** if  $A \cong \mathbb{N}$ .
- $A$  is **countable** if  $A \preccurlyeq \mathbb{N}$ .

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- $A$  is **countable** if  $A \preccurlyeq \mathbb{N}$ .
- So  $A$  is countable iff it is either finite or denumerable.

## *Examples of denumerable sets.*

---

1. The set  $\mathbb{Z}$  of integers:

$\mathbb{Z} \cong \mathbb{N}$  by the bijection

$x \mapsto$  if  $x \geq 0$  then  $2x$  else  $-2x - 1$ .

I.e.  $\mathbb{Z}$  is listed as  $0, -1, 1, -2, 2, -3, \dots$

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that maps  $x \in \mathbb{Q}^+$  to the pair  $\langle p, q \rangle$  where  $x = \frac{p}{q}$   $p, q$  are relatively prime.

(Example: 0.75 is mapped to  $\langle 3, 4 \rangle$ .)

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1.  $\mathbb{Q}^+$  is the set of positive rational numbers.

▶  $\mathbb{N} \preccurlyeq \mathbb{Q}^+$  by the identity function on  $\mathbb{N}$ .

▶  $\mathbb{Q} \preccurlyeq \mathbb{N} \times \mathbb{N}$  by the injection

that maps  $x \in \mathbb{Q}^+$  to the pair  $\langle p, q \rangle$  where  $x = \frac{p}{q}$   $p, q$  are relatively prime.

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2. **Seems like all infinite sets are countable. Are they?**

## The size of $\mathcal{P}(A)$

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- Not all infinite sets are countable!
- **Cantor's Theorem** (1891)  
For all sets  $A$ :  $\mathcal{P}(A) \not\subseteq A$ .
- **Proof.** We show that for every set  $A$  and function  $g : A \rightarrow \mathcal{P}(A)$ ,  $g$  is not surjective.  
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then taking  $d$  for  $x$  above, we'd get  
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- In particular,  $\mathcal{P}(\mathbb{N}) \not\cong \mathbb{N}$ , that is:  $\mathcal{P}(\mathbb{N})$  is not countable!

## Comments on Cantor's Theorem

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- Of course,  $f : A \hookrightarrow \mathcal{P}(A)$   
where  $f$  is the embedding
- Compare:  
For all  $A$  we have  $A \prec \mathcal{P}(A)$  (strict size-increase)  
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- The set  $\mathcal{P}^{fin}(\mathbb{N})$  of *finite* subsets of  $\mathbb{N}$  is  $\preceq \{0, 1\}^*$   
by our familiar embedding, e.g.  $\{0, 2, 3\} \mapsto \mathbf{1011}$ .  
But  $\{0, 1\}^* \preceq \mathbb{N}$  so  $\mathcal{P}^{fin}(\mathbb{N}) \preceq \mathbb{N}$  by CBS.

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For example,  $1/4 = 0.01 = 0.001111\dots$ .  
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- ▶ Map  $A \subseteq \mathbb{N}$  to the real number with *decimal* expansion  $0.d_0d_1d_2\dots$  where  $d_i = 1$  if  $i \in A$  and  $= 0$  otherwise.

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- By CBS conclude  $\mathbb{R} \cong (0..1) \cong \mathcal{P}(\mathbb{N})$ .

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- Similarly, each  $b \in B$  starts a chain  $b \xrightarrow{g} a_1 \xrightarrow{f} b_1 \xrightarrow{g} a_2 \xrightarrow{f} b_2 \xrightarrow{g} a_3 \cdots$ ,  
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- Every  $x \in A \cup B$  is in some chain.

Repetitions, e.g.  $a \xrightarrow{f} b \xrightarrow{g} a \xrightarrow{f} b \dots$  are harmless.



★ *A bijection within each chain*

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- For a chain  $C$  let  $A_c = A \cap C$ ,  $B_c = B \cap C$ , and  $f_c, g_c$  be the restrictions of  $f, g$  to  $C$ .

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- The union (over all chains) of these bijections is a bijection from  $A$  to  $B$ . QED.